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32692 7590 08/17/2007

3M INNOVATIVE PROPERTIES COMPANY  
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Andrea Newhouse (Depositor's name)  
Andrea Newhouse (Signature)  
November 8, 2007 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/727,026      | 12/02/2003  | Andrew J. Ouderkerk  | 59417US002          | 4456             |

TITLE OF INVENTION: PHOSPHOR BASED LIGHT SOURCES HAVING A NON-PLANAR LONG PASS REFLECTOR AND METHOD OF MAKING

| APPL. TYPE          | SMALL ENTITY | ISSUE FEE DUE  | PUBLICATION FEE DUE                         | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|---------------------|--------------|----------------|---|----------------------|------------------|------------|
| nonprovisional      | NO           | \$1400         | \$300                                       | \$0                  | \$1700           | 11/19/2007 |
| EXAMINER            | ART UNIT     | CLASS-SUBCLASS | 11/08/2007 MGBREN2 00000078 133723 10727026 |                      |                  |            |
| QUARTERMAN, KEVIN J | 2879         | 313-113000     | 01 FC:1501                                  | 1440.00 DA           |                  |            |
|                     |              |                | 02 FC:1504                                  | 300.00 DA            |                  |            |
|                     |              |                | 03 FC:1501                                  | 9.00 DA              |                  |            |

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- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list:  
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

William D. Miller

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

3M Innovative Properties St. Paul, Minnesota 55133-3427

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature William D. Miller  
Typed or printed name William D. Miller

Date 08 Nov 2007  
Registration No. 37,988

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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|---|---|----------------------|
| <b>FACSIMILE<br/>TRANSMITTAL<br/>FORM</b>   | Application Number                            | 10/727026            |
|   | Confirmation Number                           | 4456                 |
|   | Filing Date                                   | December 2, 2003     |
|   | First Named Inventor                          | Ouderkirk, Andrew J. |
|   | Examiner Name                                 | Quaterman, Kevin J.  |
| Fax: 571-273-2885                           | Attorney Docket Number                        | 59417US002           |
| Total Number of Pages in This Submission: 3 |   |                      |
| Date: November 8, 2007                      | Attorney for Applicant: Stephen C. Jensen/aln |                      |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Issue Fee Transmittal<br><input type="checkbox"/> Amendment Transmittal  | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                    |
| <input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)   | <input type="checkbox"/> Petition to Convert a Provisional Application       | <input type="checkbox"/> Appeal Communication to Technology Center (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Extension of Time Request   | <input type="checkbox"/> Power of Attorney, Revocation                       | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Express Abandonment Request   | <input type="checkbox"/> Change of Correspondence Address                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Information Disclosure Statement  | <input type="checkbox"/> Terminal Disclaimer                                 | <input type="checkbox"/> Other Enclosures:   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR § 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts under 35 USC 371 in US Designated/ Elected Office (DO/EO/US) | <input type="checkbox"/> Request for Refund                                  |  |
|  | <input type="checkbox"/> Request for Continued Examination (RCE) Transmittal |  |
| <input type="checkbox"/> Drawings  | <input type="checkbox"/> After Allowance Communication to Technology Center  |  |
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